



Governor's Office of Storm Recovery

**STATE OF NEW YORK
VILLAGE OF SIDNEY**

**NY STATE
CDBG-DISASTER RECOVERY PROGRAM**

**APPLICATION FOR FUNDING
SIDNEY GREENPLAIN STUDY**

AUGUST 2017

PREPARED BY

HUNT, GUILLOT, & ASSOCIATES, LLC

25 BEAVER STREET

2ND FLOOR

NEW YORK, NEW YORK 10004

(646) 797-4993

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General Description Form

Place a check mark in the appropriate box: Original Application Amended Application

| | |
|---|--|
| <p>Applicant Name, Address, and Phone Number:</p> <p>Village of Sidney Andrew Matviak, Mayor 21 Liberty Street Sidney, NY 13838 Phone: (607) 561-2320 Fax: (607) 561-2310</p> | <p>Project Name:</p> <p>Sidney Greenplain Study</p> <p>Project Address:</p> <p>River Street-Susquehanna Waterfront</p> |
| <p>Applicant's Contact Person Name, Address, Phone Number, Email Address, DUNS Number, and SAM CAGE Code Number.</p> <p>Andrew Matviak, Mayor 21 Liberty Street Sidney, NY 13838 Phone: (607) 561-2320 Email: amatviak@gmail.com</p> <p>John Redente, Economic Development Dir. 21 Liberty Street Sidney, NY 13838 Phone: (607) 561-2320 Email: jredente1@gmail.com</p> <p>DUNS No.: 010474931 SAMS Cage Code: 7BK98</p> | <p>Name, Address, Phone Number and Email Address of HGA Administrative Consultant: (if applicable)</p> <p>Bill Blankenship, GM 185 Green Street, Ste. 1 Kingston, New York 12401 Phone: (845) 200-3140 Email: wblankenship@hga-llc.com</p> <p>Name, Address, Phone Number and Email Address of GOSR Project Manager:</p> <p>Amanda Hansen 99 Washington Avenue, Ste. 1224 Albany, New York 12210 Phone: (518) 473-0099 Email: Amanda.Hansen@stormrecovery.ny.gov</p> |
| <p>Name, Address, Phone Number and Email Address of Architectural/Engineering Firm:</p> | <p>National Objective to be Addressed (check one).</p> <p><input type="checkbox"/> Activities Benefiting Low/Moderate Income Persons</p> <p><input type="checkbox"/> Prevention/Elimination of Slums or Blight</p> <p><input type="checkbox"/> Urgent Need</p> <p><input checked="" type="checkbox"/> Not Applicable—Planning</p> |

| Project Funds | Amount | Source and Status of Funds |
|---|--------------------|---|
| CDBG-DR | \$25,000.00 | CDBG-DR |
| Local Funds | \$0.00 | |
| Private Funds | \$0.00 | |
| Other State Funds | \$25,000.00 | NYS Empire State Development (ESD) |
| Federal Funds (non-CDBG-DR) | \$0.00 | |
| Other Funds | \$0.00 | |
| TOTAL FUNDS | \$50,000.00 | |
| Signature (Authorizing Official) and Date Signed | | Typed Name/Title (Authorizing Official) Andrew Matviak, Mayor |

6. Indicate by means of an “x” as to whether the proposed project will involve a community-wide benefit or a target area(s) and enter the zip code of the project. If a target area is involved, enter the name(s) and zip code of the target area(s).

Community-wide (Zip Code: 13838) Target Area(s)

Name and Zip Code of Target Area: Village of Sidney, 13838

Name and Zip Code of Target Area: _____

Name and Zip Code of Target Area: _____

Community-wide projects should use the zip code of the location of city hall. Target-area projects should use the zip code of the target area where the majority of the construction funds will be spent (for each target area). If the target area(s) does not have a name, please provide a brief geographical description of the area such as “western portion of the city.”

7. Provide Lat/Long for the Project Location at or near the geographical center:

Village of Sidney (Village Hall) Latitude: 42.184783 Longitude: -75.242256

8. How many other projects funded with CDBG-DR funds relate to the project: 0

9. Does the project relate to any other project GOSR should be aware? Yes

This project involves matching \$25,000.00 in Empire State Development funding, awarded to the village in October 2015.

10. Does the project encompass multiple counties: Yes No

11. If the proposed project is a “covered project,” please provide a narrative describing the “**Resilience Performance Standards**” to be used in the design/implementation of the project below.

N/A

12. If the proposed project is a “covered project,” please provide a narrative describing the “**Green Infrastructure Project Activities**” to be used in the design/implementation of the project below. For the purpose of completing this section, green infrastructure is defined as the integration of natural systems and processes, or engineered systems that mimic natural systems and processes, into investments in resilient infrastructure. “Green Infrastructure” takes advantage of the services and natural defenses provided by land and water systems such as wetlands, natural areas, vegetation, sand dunes, and forests, while contributing to the health and quality of life of those in recovering communities.

N/A

13. If the proposed project is a “covered project,” please provide a narrative describing the “**Transparent and Inclusive Decision Processes**” undertaken in selection of the proposed project. Include accessible public hearings and other processes to advance the engagement of vulnerable populations.

N/A

14. If the proposed project is a “covered project,” please provide a narrative describing the “**Long Term Efficacy and Fiscal Sustainability**” plans to monitor and evaluate efficacy and sustainability, including how it will reflect changing environmental conditions (such as sea level rise or development patterns) with risk management tools, and/or alternate funding sources, if necessary.

N/A

15. If the proposed project is a "covered project," please provide a narrative describing how the project will align with the commitment expressed in the President's Climate Action Plan to "identify and evaluate additional approaches to improve our natural defenses against extreme weather, protect biodiversity, and conserve natural resources in the face of changing climate..."

N/A

16. Has an amendment to the Action Plan to include this project been submitted to HUD?

Yes; No;

17. What is the status of the amendment request? Provide a narrative describing the status of the amendment request. (Include date of submission, date of approval, any requests for additional information, and current status)

N/A

18. Is this project receiving FEMA Public Assistance funding: Yes No

19. Is this project receiving FEMA Public Assistance 406 Hazard Mitigation Funds:

Yes No

Please provide the FEMA Project Worksheet number(s) for this project application: N/A
(The FEMA project work sheet number should include the FEMA disaster declaration number in the first four (4) digits and the project worksheet number in the last five (5) digits. A Hurricane Sandy related project with the project worksheet "567" would be entered as "4085-00567")

20. Is this project receiving FEMA Section 404 Hazard Mitigation funds:

Yes No

21. Is this project receiving any Army Corps of Engineers funding:

Yes No

If yes, please provide the type of funds applied for and application number: N/A

22. Is this project receiving any Environmental Protection Agency funds:

Yes No

If yes, please provide the type of funds applied for and application number: N/A

23. Is this project receiving any Department of Energy funds:

Yes No

If yes, please provide the type of funds applied for and application number: N/A

24. Is this project receiving any Department of Transportation funds:

Yes No

If yes, please provide the type of funds applied for and application number: N/A

25. Is this project receiving any Department of the Interior fund:

Yes No

If yes, please provide the type of funds applied for and application number: N/A

BUDGET/COST SUMMARY FORM

PROJECT NAME: Sidney Greenplain Study

| (A) Costs by Activity | (B) CDBG-DR | (C) Other | (D) Total | (E) Source |
|---|--------------------|--------------------|--------------------|-------------------|
| 1. Acquisition of Real Property | \$0.00 | \$0.00 | \$0.00 | |
| 2. Public Facilities and Improvements | \$0.00 | \$0.00 | \$0.00 | CDBG-DR |
| 3. Rehabilitation Loans and Grants (Hook-ups) | \$0.00 | \$0.00 | \$0.00 | |
| 4. Clearance Activities | \$0.00 | \$0.00 | \$0.00 | |
| 5. Public Services | \$0.00 | \$0.00 | \$0.00 | |
| 6. Other (identify) – Planning | \$25,000.00 | \$25,000.00 | \$50,000.00 | NYSESD & CDBG-DR |
| 7. Project Delivery Costs | \$0.00 | \$0.00 | \$0.00 | |
| 8. Administration | \$0.00 | \$0.00 | \$0.00 | |
| TOTAL | \$25,000.00 | \$25,000.00 | \$50,000.00 | |

Architectural/Engineering (A/E) costs must be included in one of the activity costs above.

HUD Matrix Code: 20 (Planning)

CDBG-DR PROGRAM TIME SCHEDULE

APPLICANT NAME: Village of Sidney

| MILESTONES | Quarter 1 | Quarter 2 | Quarter 3 | Quarter 4 | Quarter 5 | Quarter 6 | Quarter 7 | Quarter 8 | Quarter 9 | Quarter 10 | Quarter 11 | Quarter 12 |
|----------------------------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|-----------|------------|------------|------------|
| Activity (Planning) | | | | | | | | | | | | |
| a. Procurement | ----- | →→ | | | | | | | | | | |
| b. Planning/Study | | | →→→ | | | | | | | | | |
| c. Recommendations | | | | → | | | | | | | | |
| d. Close-out | | | | →→ | | | | | | | | |

Provide the following dates:
*Required

*ERR Complete Date: N/A
 Planning Study Start Date: September 2017
 *Construction End Date: N/A

Acquisition/Closing: N/A
 Recommendations Complete: January 2018

ACTIVITY BENEFICIARY FORM

| | |
|--|--|
| X Community-Wide <input type="checkbox"/> Target Area <input type="checkbox"/> Limited-Clientele <input type="checkbox"/> Combined | Project: Sidney Greenplain Study |
|--|--|

| <i>List name of each activity excluding Admin & Acquisition:</i> | 1) Planning | | 2) | | 3) | |
|--|-------------|-------|----|---|----|---|
| | # | % | # | % | # | % |
| Persons (total): | 3,520 | | | | | |
| Total LMI Income: | 1,405 | 39.9% | | | | |
| Low Income: | 645 | 18.3% | | | | |
| <i>Owner (for Rehab activity <u>only</u>, i.e. hookups):</i> | | | | | | |
| <i>Renter (for Rehab activity <u>only</u>, i.e. hookups):</i> | | | | | | |
| Moderate Income: | 760 | 21.6% | | | | |
| <i>Owner (for Rehab activity <u>only</u>, i.e. hookups):</i> | | | | | | |
| <i>Renter (for Rehab activity <u>only</u>, i.e. hookups):</i> | | | | | | |
| Medium Income: | 1,155 | 32.8% | | | | |
| <i>Owner (for Rehab activity <u>only</u>, i.e. hookups):</i> | | | | | | |
| <i>Renter (for Rehab activity <u>only</u>, i.e. hookups):</i> | | | | | | |

| Race and Ethnicity | Percent (%) | Percent (%) | Percent (%) |
|--|-------------|-------------|-------------|
| White: | 96.2% | | |
| Black or African American: | 0.7% | | |
| American Indian or Alaskan Native: | 0.1% | | |
| Asian: | 1.0% | | |
| Native Hawaiian or Other Pacific Islander: | 0.0% | | |
| Other: | 2.0% | | |
| Hispanic or Latino | 2.6% | | |

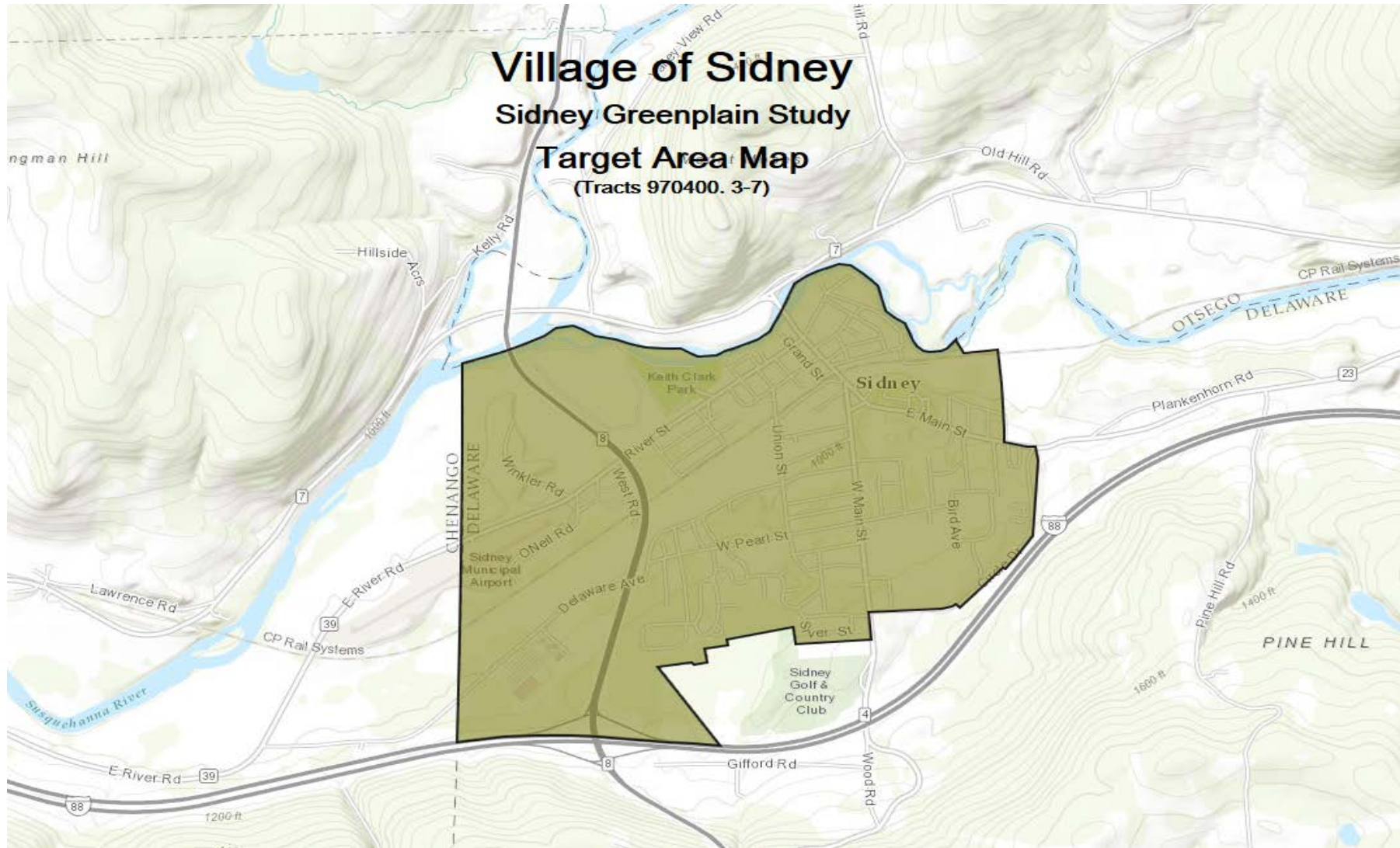
Data Sources:
 Low and moderate income persons for area benefit activities were determined using the 2006-2010 American Community Survey.

Percentages for race and ethnicity for area benefit activities were determined using the Census 2010 SF-1 100% data, Tables P3-Race, and P4-Hispanic or Latino Origin.

VICINITY MAP



TARGET AREA MAP



PROJECT SITE MAPS



PROJECT DESCRIPTION.

The Village of Sidney is requesting \$25,000.00 in CDBG-DR funding to utilize as matching funds to develop a strategic development plan that will study and assess the feasibility of converting a future greenplain area to park/recreational space. The village envisions that this greenplain, once converted to recreational space, will contain an eco-friendly park that will attract tourists to the village.

Tropical Storm Lee dropped approximately 12-inches of rain on the village, causing flash flooding and inundation of large sections of the village, including the village's River Street neighborhood, which is the area proposed for study. Subsequent to the storm, during the NYRCR planning process, the village developed plans that include the buyout and demolition of the River Street neighborhood, which will create a 140-acre greenplain fronting the south bank of the Susquehanna River. In October 2015, the New York State Urban Development Corporation (NYSUDC) (d.b.a. Empire State Development) (hereinafter abbreviated as "ESD") awarded the village \$25,000.00 in incentive funding to complete the study, which is projected to cost \$50,000.00 to complete. CDBG-DR funding is being requested to fill the gap between the ESD-provided amount and the total projected cost of the study.

The study will assess and deliver recommendations for the village to utilize in converting greenplain space to recreational space that will feature eco-friendly walkways, streams, ponds, and an open-air event stage. These areas of study will build upon elements approved through the village's approved FEMA-HMGP application.

This study is one of a series of steps toward implementation of projects that will enable the village to recover from the effects of Tropical Storm Lee, as well as, mitigate negative effects of future storms. Strategic plans and recommendations arising from the study will be measured for feasibility and cost-effectiveness before pursuing. As such, the study will concentrate on costs of potential improvements, developmental concerns, past and future flood mitigation efforts, and economic opportunity potential for the village. No historic or landmarked properties will be impacted by the proposed study. Additionally, no acquisition of properties, to include easements, is anticipated to take place as part of this study. There is no permitting activity associated with this project.

This project is a CDBG-DR eligible project pursuant to Section 105(a)(12)(B), of the Housing and Community Development Act. (HCDA); activities necessary to develop a policy planning management capacity so that the recipient of assistance under the title may more rationally and effectively (i) determine its needs, (ii) set long-term goals and short-term objectives, (iii) devise programs and activities to meet these goals, (iv) evaluate the progress of such programs in accomplishing these goals and objectives, and (v) carry out management, coordination and monitoring of activities necessary for effective planning implementation.

The project for which funding is sought is a "planning-only" activity and does not require a National Objective.

ARCHITECT/ENGINEER'S COST ESTIMATE

Estimated Number of Parcels to be Acquired: N/A

Anticipated Approvals/Permits to be Acquired: N/A

PROJECT BUDGET

SIDNEY GREENPLAIN STUDY

FUNDING THROUGH NYS EMPIRE STATE DEVELOPMENT (ESD) \$25,000.00

FUNDING THROUGH CDBG-DISASTER RECOVERY (MATCH) \$25,000.00

TOTAL FUNDING **\$50,000.00**

ANTICIPATED STUDY COST **\$50,000.00**

Applicant/Recipient Disclosure/Update Report

U.S. Department of Housing
and Urban Development

OMB Approval No. 2510-0011 (exp. 8/31/2009)

Instructions (See Public Reporting Statement and Privacy Act Statement and detailed instructions on page 2.)

Applicant/Recipient Information

Indicate whether this is an Initial Report or an Update Report

1. Applicant/Recipient Name, Address, and Phone (include area code):

Village of Sidney, NY
21 Liberty Street
Sidney, NY 13838
(607) 561-2320

2. Social Security Number or
Employer ID Number:

16-0911063

3. HUD Program Name

Community Development Block Grant – Disaster Recovery Program

4. Amount of HUD Assistance
Requested/Received

\$25,000.00

5. State the name and location (street address, City and State) of the project or activity:

Sidney Greenplain Study, Sidney, NY

Part I Threshold Determinations

1. Are you applying for assistance for a specific project or activity?

These terms do not include formula grants, such as public housing operating subsidy or CDBG block grants. (For further information see 24 CFR Sec. 4.3).

Yes No

2. Have you received or do you expect to receive assistance within the jurisdiction of the Department (HUD), involving the project or activity in this application, in excess of \$200,000 during this fiscal year (Oct. 1 - Sep. 30)? For further information, see 24 CFR Sec. 4.9

Yes No.

If you answered "No" to either question 1 or 2, **Stop!** You do not need to complete the remainder of this form. **However**, you must sign the certification at the end of the report.

Part II Other Government Assistance Provided or Requested / Expected Sources and Use of Funds.

Such assistance includes, but is not limited to, any grant, loan, subsidy, guarantee, insurance, payment, credit, or tax benefit.

| Department/State/Local Agency Name and Address | Type of Assistance | Amount Requested/Provided | Expected Uses of the Funds |
|--|--------------------|---------------------------|----------------------------|
| NYS Empire State Development | Planning Study | \$25,000.00 | Planning Study |
| | | | |

(Note: Use Additional pages if necessary.)

Part III Interested Parties. You must disclose:

- All developers, contractors, or consultants involved in the application for the assistance or in the planning, development, or implementation of the project or activity and
- Any other person who has a financial interest in the project or activity for which the assistance is sought that exceeds \$50,000 or 10 percent of the assistance (whichever is lower).

| Alphabetical list of all persons with a reportable financial interest in the project or activity (For individuals, give the last name first) | Social Security No. or Employee ID No. | Type of Participation in Project/Activity | Financial Interest in Project/Activity (\$ and %) |
|--|--|---|---|
| | | | |
| | | | |
| | | | |
| | | | |

(Note: Use Additional pages if necessary.)

Certification

Warning: If you knowingly make a false statement on this form, you may be subject to civil or criminal penalties under Section 1001 of Title 18 of the United States Code. In addition, any person who knowingly and materially violates any required disclosures of information, including intentional non-disclosure, is subject to civil money penalty not to exceed \$10,000 for each violation. I certify that this information is true and complete.

Signature:

X

Date: (mm/dd/yyyy)

Andrew Matviak, Mayor

APPENDIX A

PROOF OF PUBLICATION OF PUBLIC NOTICE REQUESTING PUBLIC COMMENT ON SUBMISSION OF APPLICATION FOR FUNDING

PUBLIC NOTICE

New York State CDBG-DR Application Available Review

The Village of Sidney announces that it intends to submit an application for New York State Community Development Block Grant-Disaster Recovery (CDBG-DR) Program funds on or about Friday, August 25, 2017, for the following project: **Sidney Greenplain Study**.

Activity: New York State CDBG-DR funds will be used to conduct a study measuring feasibility and cost-effectiveness of development of park and recreational space adjacent to the Susquehanna River waterfront.

Objective: To study options for park and recreational spaces at selected locations in a future greenplain area.

Location: Village of Sidney, NY

Amount: Planning; \$25,000.00 (CDBG-DR)

A copy of the application will be available for review at the Sidney Village Hall, 21 Liberty Street, Sidney, NY 13838, Thursday August 17, 2017 through Thursday, August 24, 2017, between the hours of 9:00 a.m. and 4:00 p.m.

All citizens, particularly persons of low and moderate income and residents of blighted areas, as well as those affected by the project are encouraged to submit their views and proposals by Thursday, August 24, 2017 to the Village of Sidney at the following address:

Village of Sidney, NY
Attn: Andrew Matviak, Mayor
21 Liberty Street
Sidney, New York 13838
(607) 561-2320
Email: amatviak@gmail.com

Additional information regarding the availability and use of CDBG-DR funds is available upon request.

Publish one (1) time in *The Tri-Town News*

APPENDIX B
DUPLICATION OF BENEFITS QUESTIONNAIRE AND CERTIFICATION



**Governor's Office of
Storm Recovery**

ANDREW M. CUOMO
Governor

LISA BOVA-HIATT
Executive Director

NY Rising Community Reconstruction Program
DUPLICATION OF BENEFITS QUESTIONNAIRE

Program Participant: Village of Sidney, New York

Project Name: Sidney Greenplain Study

Federal regulations require a duplication of benefits (DOB) analysis for projects receiving U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant-Disaster Recovery (CDBG-DR) support to ensure that the Program Participant does not receive more funds for a project than are needed. The Program Participant must report all assistance they have received for a project from such sources as insurance, Small Business Administration (SBA), Federal Emergency Management Agency (FEMA), and other local, State, or Federal programs, and private or nonprofit charitable organizations. Any funds received from these sources for this project must be considered when the amount of the CDBG-DR grant is determined. While inclusion in a long-term capital plan does not constitute a DOB, if a project has been included in the Program Participant's annual budget, it may be considered a DOB. CDBG-DR is a funding source of last resort, and should funds become available for a project in the future such that some or all of the CDBG-DR funds budgeted for the project would constitute a duplication of benefits, those CDBG-DR funds will be disallowed or, if outlaid, must be returned to the Governor's Office of Storm Recovery (GOSR). Please consult with GOSR staff if you have any questions regarding whether a potential DOB exists. Please use the chart below to describe funds the Program Participant has received and/or committed for the project.

FUNDS RECEIVED OR BUDGETED FOR THE PROJECT

| SOURCE OF FUNDS | Amount received for the project | Additional funds expected |
|---|--|----------------------------------|
| FEMA | \$0.00 | \$0.00 |
| Other Federal Agencies (Describe) | \$0.00 | \$0.00 |
| State Agencies | \$0.00 | \$0.00 |
| Budgeted Program Participant Funds (Annual Budget) | \$0.00 | \$0.00 |
| Private Insurance | \$0.00 | \$0.00 |

| | | |
|---|-------------|--------|
| National Flood Insurance | \$0.00 | \$0.00 |
| Nonprofit Organizations (Describe) | \$0.00 | \$0.00 |
| Other Funds (NYS Empire State Development) | \$25,000.00 | \$0.00 |
| TOTAL | \$25,000.00 | \$0.00 |

Documents Needed:

Along with this form, please provide documents that show the amounts received for the project from each source listed above. Note: All documents, including Program Participant budgets, must be retained and produced for review at the request of GOSR or HUD.

CERTIFICATION:

I certify that the information provided in this questionnaire is true and accurate to the best of my ability. I understand that if this information is not correct, it may affect the amount of any grant I may receive or may lead to the recapture of disbursed funds by GOSR and/or HUD.

Village of Sidney, NY

Program Participant

Signature of Authorized Certifying Official

Andrew Matviak, Mayor

Printed Name of Authorized Certifying Official

Date

WARNING: The information provided on this form is subject to verification by the State of New York and the Department of Housing and Urban Development (HUD) at any time. Title 18, Section 1001 of the U.S. Code states that knowingly and willingly making a false or fraudulent statement to a department of the United States Government can result in termination of assistance and civil and criminal penalties.

**Governor's Office of Storm Recovery
 NY Rising Community Reconstruction Program
 Duplication of Benefits**

Subrecipient: Village of Sidney
Project: Hotel & Conference Center Feasibility & Manufacturing Facility Re-Use Study

Calculation of CDBG-DR Award

| | | |
|------------------------------|--|--------------|
| 1. | Identify Subrecipient's Total Need Prior to Any Assistance (e.g., reconstruction cost estimate) | \$50,000.00 |
| 2. | Identify All Potentially Duplicative Assistance: | |
| | a. Insurance | |
| | b. FEMA Public Assistance funds for Permanent Work | \$25,000.00 |
| | c. Other <u>NYS Empire State Development</u> | |
| | Subtotal | \$25,000.00 |
| 3. | Deduct Assistance Determined to be Duplicative | \$25,000.00 |
| 4. | Maximum Eligible Award (Item 1 less Item 3) | \$25,000.00 |
| 5. | Program Cap (if applicable) | \$0.00 |
| 6. | CDBG-DR Award (lesser of Items 4 and 5) | \$25,000.00 |
| Preparer's Signature: | | Date: |
| | | |

APPENDIX C
CENSUS DATA

| COUNTY NAME | GEOID | TRACT | BLSGRP | LOW/MO/UNIV | LMI | MO | LOW | MEDIUM | |
|-----------------|--------------|--------|--------|-------------|-----|-----|-----|--------|-----|
| Delaware County | 360252704003 | 270400 | 3 | | 720 | 115 | 85 | 30 | 105 |
| Delaware County | 360252704004 | 270400 | 4 | | 650 | 555 | 190 | 305 | 255 |
| Delaware County | 360252704005 | 270400 | 5 | | 485 | 185 | 110 | 25 | 145 |
| Delaware County | 360252704006 | 270400 | 6 | | 685 | 300 | 190 | 130 | 495 |
| Delaware County | 360252704007 | 270400 | 7 | | 490 | 250 | 185 | 85 | 105 |

| | | | | | | | | |
|-------------------|------|--|--|------|-------|-------|-------|-------|
| Total Population: | 3520 | | | 3520 | 1405 | 790 | 645 | 1155 |
| Total LMI: | 1405 | | | | 36.0% | 21.0% | 18.3% | 32.0% |

| | |
|-------------------|--------|
| Total Percentage: | 39.9 % |
|-------------------|--------|

| | |
|--------------------------------------|--------|
| WHITE: | 96.2 % |
| BLACK OR AFRICAN AMERICAN: | 0.7 % |
| AMERICAN INDIAN OR ALASKAN NATIVE: | 0.1 % |
| ASIAN: | 1 % |
| NATIVE HAWAIIAN OR PACIFIC ISLANDER: | 0 % |
| OTHER: | 2 % |
| HISPANIC OR LATINO: | 2.8 % |